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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/927,422
	Filing Date	August 10, 2001
	First Named Inventor	Gary VAN NEST
	Group Art Unit	1632
	Examiner Name	To Be Assigned
Total Number Of Pages In This Submission	28 + 14 REFS	Attorney Docket No. 377882001420

## ENCLOSURES (check all that apply)

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1. Form PTO-1449 plus copy (24 pages)
2. Fourteen (14) References
3. Return Receipt Postcard.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Rd, Palo Alto, CA 94304-1018
Signature	<i>Karen R. Zachow</i>
Date	November 13, 2001

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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PATENT  
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*Haze M. Raskowitz*  
Haze M. Raskowitz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Gary VAN NEST et al.

Serial No.: 09/927,422

Filing Date: August 10, 2001

For: BIODEGRADABLE  
IMMUNOMODULATORY  
FORMULATIONS AND METHODS  
FOR USE THEREOF

Examiner: To Be Assigned

Group Art Unit: 1632

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents numbered 18-21, 42, 43, 46-51, 96, and 170 are submitted herewith. Copies of the documents numbered 1-17, 22-41, 44, 45, 52-95, 97-169 and 171-189 were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/802,359, filed March 9, 2001, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The

Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of      is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 377882001420. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 13, 2001

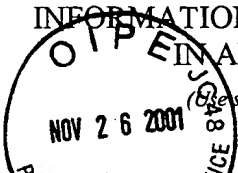
Respectfully submitted,

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PTO/SB/08 (2-92)  
Sheet 1 of 12

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) 	Docket Number 377882001420	Application Number 09/927,422
	Applicant Gary VAN NEST et al.	
	Filing Date August 10, 2001	Group Art Unit 1632
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## U.S. PATENT DOCUMENTS

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Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	07/03/1984	4,458,006	Döenges et al.			
	2.	03/17/1987	4,650,675	Borel et al.			
	3.	07/18/1989	4,849,513	Smith et al.			
	4.	03/20/1990	4,910,300	Urdea et al.			
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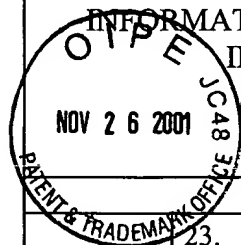
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	22.	01/29/1992	0 468 520 A2,A3	Europe			

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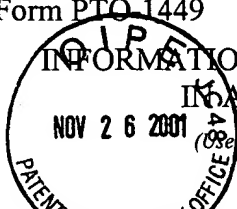


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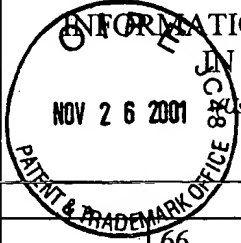
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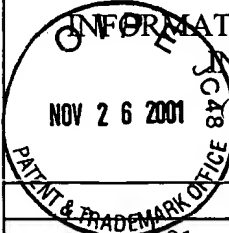
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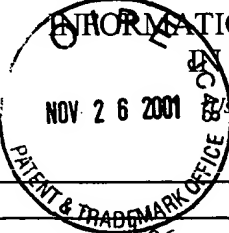
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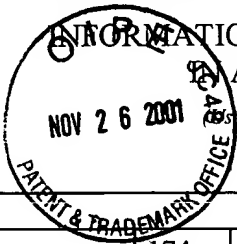
  

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